

# Health Effects Institute Science to Inform Policy

Bob O'Keefe, Vice President  
Health Effects Institute

Clean Air Act Advisory Committee  
Washington, DC  
May 2008



# *The Health Effects Institute*

- Over 25 years of providing impartial, high-quality science on health effects of air pollution
- From the beginning, joint and equal core funding from
  - Government (U.S. EPA)
  - Industry (Worldwide Vehicle and Engine Manufacturers)
- Expanded major partnerships with:
  - Oil, steel, paper, utilities, chemical
  - DOE, FHWA, California, USAID, WHO, EU, ADB,, other agencies and Environmental NGOs
- Over 260 studies – selected competitively from best institutions around the world



# *HEI Structure and Approach*

- HEI structured to maintain credibility & transparency in often controversial regulatory debates
  - Balanced government and industry funding
  - Independent Board and Expert Science Committees
    - Not affiliated with sponsors – no perceived “point of view”
    - Board agreed to by EPA Administrator and industry
    - *Research Committee* selects all research competitively
    - Separate *Review Committee* intensively peer reviews all results
  - All results and data – both positive and negative – reported
- Does not take policy positions



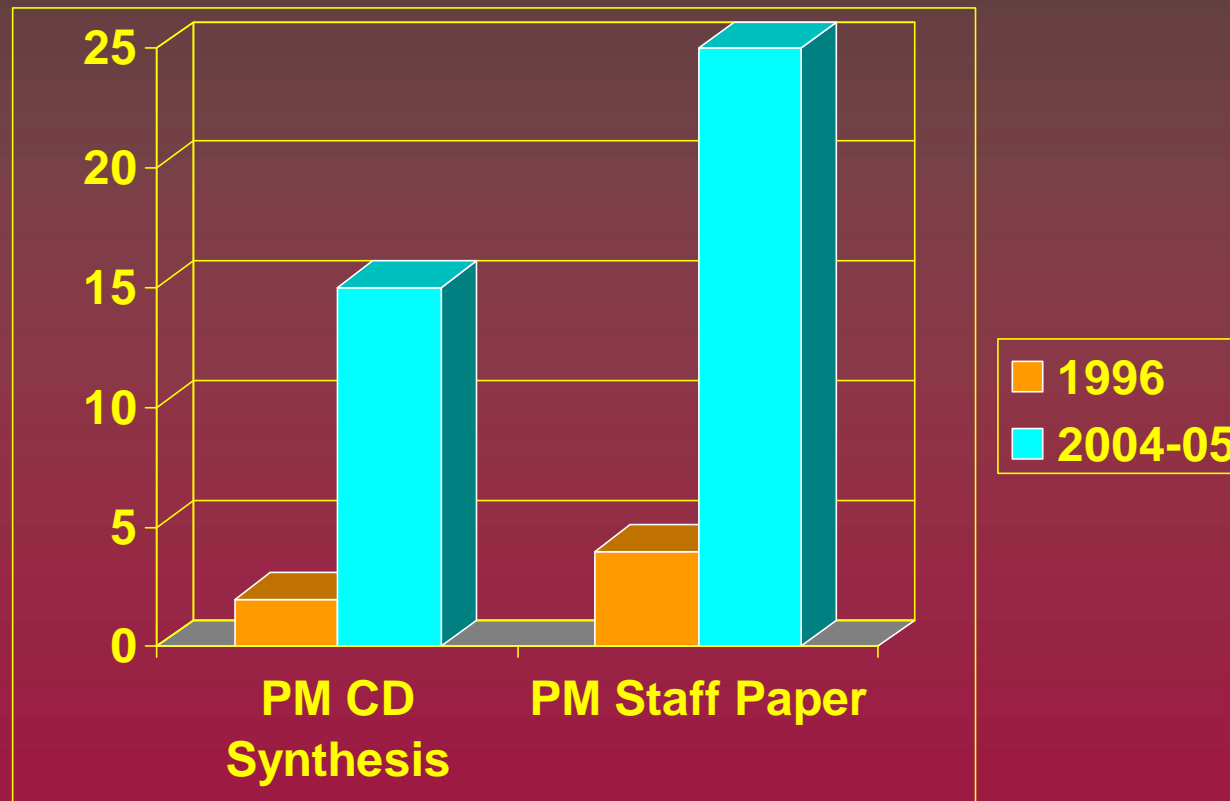
# *HEI Products*

- *Targeted Interdisciplinary Research*
  - Key regulatory questions: NAAQS, air toxics, fuels & additives, (toxicology, epidemiology, exposure etc.)
  - Studies on particulate matter, ozone, diesel, carbon monoxide, exhaust, benzene, butadiene, many others
- *Re-Analysis*
  - e.g. Harvard Six Cities and American Cancer Society Studies on PM; 30 revised “time-series” PM studies
- *Review & Synthesis of Existing Science*
  - Traffic, MTBE, Diesel Exhaust Epidemiology, Air Toxics,
- *Continuous Improvement in Methods*
  - Development and application of the best methods for statistics, exposure, toxicology



# *Having an Impact:* HEI in the PM NAAQS

(Number of HEI Reports cited in U.S. EPA PM NAAQS Documents)



# *HEI Funding Studies in Many Locations* To Inform Increasingly International Environmental Decisions



★ = HEI Study



# The HEI Strategic Plan 2005 – 2010

*developed with broad input from sponsors, science,  
stakeholders*

- Targeted *Priority Topics*:
  - Health effects of the air pollution mixture
    - Particulate Matter
    - Ozone and other gases
    - Air toxics
  - Emerging fuels and technologies (e.g., 2007-2010 diesel, manganese, biofuels)
  - Assessing the public health impact of air quality actions (accountability)
  - Enhanced international perspective



# *National Particle Toxicity Component Initiative (NPACT)*

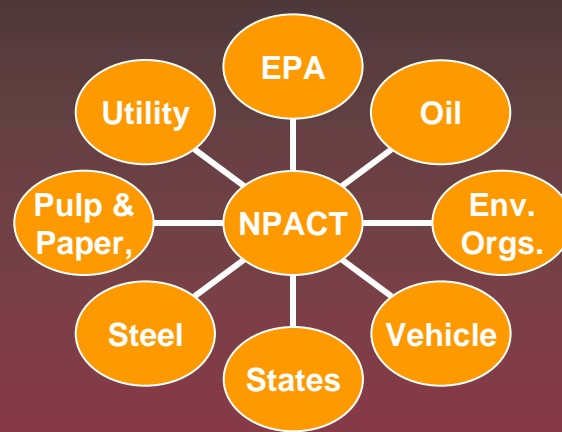
*Systematic, multidisciplinary program to compare toxicity of PM components, gases, and sources.*

*Multi–Sector/  
Government Initiative*

*Teams from UW, LLRRI  
NYU, Yale*

*Detailed monitoring  
data, and integrated tox,  
long and short term epi,  
exposure assessment*

*Initiated in 2007*



*Comprehensive 5-year Program designed to deliver near term and longer term results to inform:*

- Future PM, gaseous NAAQS decisions
- Key federal state and local decisions, (e.g. future vehicle, boiler, and utility PM rules).
- **Initial results in 2009 and 2010;**
- Comprehensive results of all studies expected in 2011 and 2012.



*Coordinated Toxicology and Epidemiology in Over 100 Cities*



# Toxicology

Integrated 6-month mouse ambient studies in diverse PM settings (NYUMC) **compared with** subchronic source specific exposures for similar mouse model (LRR)



# *Accountability*

- Assessing the Health Impact of Environmental Regulations
  - Are regulations achieving the intended public health benefits?
  - Use real data (not models) to measure impact of regulation along entire chain from:

*Regulatory action → emissions → air quality  
→ exposure → human health*



# *HEI studies:*

## *Assess Short-term interventions*

*Occur over very short period of time, acute effects*

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### Traffic reduction measures

1. London congestion charging scheme
2. Low emission zone in London

### Targeting fuels & combustion

4. Cleaner wood stoves in Montana
5. Coal ban in Irish cities
6. Reducing sulfur in fuel in Hong Kong

### Multiple sources

7. Reducing traffic and industrial sources in Beijing in association with 2008 Olympic Games

## *HEI studies:*

### *Actions and events over the longer term*

*implemented over longer term, many other concurrent changes*

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8. Regulations requiring decreased SO<sub>2</sub> emissions from power plants in the eastern United States (Title IV of the 1990 Clean Air Act Amendments)
9. Changes in eastern Germany after the reunification, such as switching from brown coal to natural gas and increased use of catalytic converters and diesel engines

# *Cleaner wood stoves (Montana)*

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PI: Curtis Noonan, University of Montana

- community intervention project by Montana DEQ & others
- change-out of 1200 uncertified wood stoves during two winters (2005 and 2006)
- assess PM<sub>2.5</sub> levels outdoors, in schools, and in homes before, during and after wood stove replacement



relate air quality to children's respiratory symptoms, infections, and illness-related school absences

- Do we see a decrease in pollution and health outcomes over existing baseline?



# *2008 Olympics (Beijing)*

PI: Jim Zhang, University of Medicine and Dentistry of  
New Jersey

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Assess effect of efforts to reduce emissions from traffic and industrial sources in period leading up to and during Olympics

- Measures (already started), targeting industry, fuels in Beijing area,
- Additional two-tiered approach during the Olympics:
  - (1) keep highly emitting vehicles off the road and restrict operation of high emitting industries (July 25 – September 17)
  - (2) restrict additional vehicles and factories during actual competition (August 8–24)

-Baseline air monitoring (before), during, after interventions

-Biomarkers in PKU medical students during same Olympic period to assess

- blood coagulation
- systemic inflammation

# Health Impacts of Traffic





# “Roadway” Effects

- Growing number of studies looking at exposures and effects at roadside
  - High levels of some pollutants
  - Substantial populations potentially affected especially in urban areas, some low SES
  - Exposure, Animal, and Epidemiology Studies
  - Crude Exposure metrics
    - Difficult to separate sources

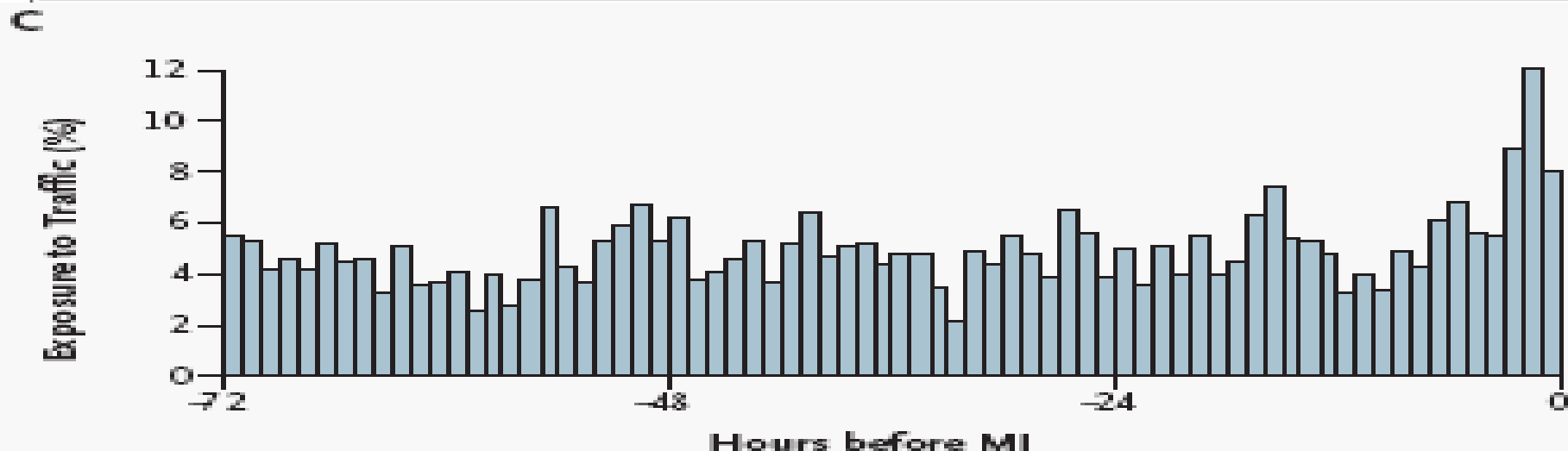


# Time Spent in Traffic and Heart Attack

HEI Study (Peters et al 2005)

- Found elevated risk of MI for those in traffic 2 hrs prior to event
- Noise, stress could also play role

The NEW ENGLAND  
JOURNAL of MEDICINE



**Figure 1.** The Onset of 691 Nonfatal Myocardial Infarctions (MI) in Relation to Exposure to Traffic. According to the Assessment of Time Spent in Traffic.

# *Critical Review of Studies of Health Effects of Traffic- Related Air Pollution*

- HEI Committee Chaired by Ira Tager, UC Berkeley
- Review of scientific literature from 1986 (start of diesel emission control) to 2007
- Initiated Spring 2007, first drafts completed
- Key areas:
  - Emissions Characterization
  - Exposure Assessment
  - Epidemiology (including statistical issues raised by epi studies)
  - Toxicology

Over 500 studies reviewed (!)

Publication expected in 2009



# International



# International Perspective

*(support from foundations, Asian Development Bank, others)*

- Modest, sustainable international program:

*Apply the best science from throughout the world to inform US decisions*

- Air Pollution & Health a combined European and North American Approach (APHENA), (studies of acute effects across two continents)
- Brunekreef (long term effects of exposure to traffic in NL)

- *Inform Air pollution and health decisions in emerging markets of Asia, Latin America. High pollution, dense urban populations, public health impacts*

- PAPA” Studies of acute effects in 7 Asian cities (China, India, Thailand)
- Air pollution poverty and health in year two in Vietnam,
- PAPA-SAN, 1<sup>st</sup> ever web database of Asian science literature updated, >450 studies
- Benchmark Review: The Health Effects of Air Pollution in Asian Cities

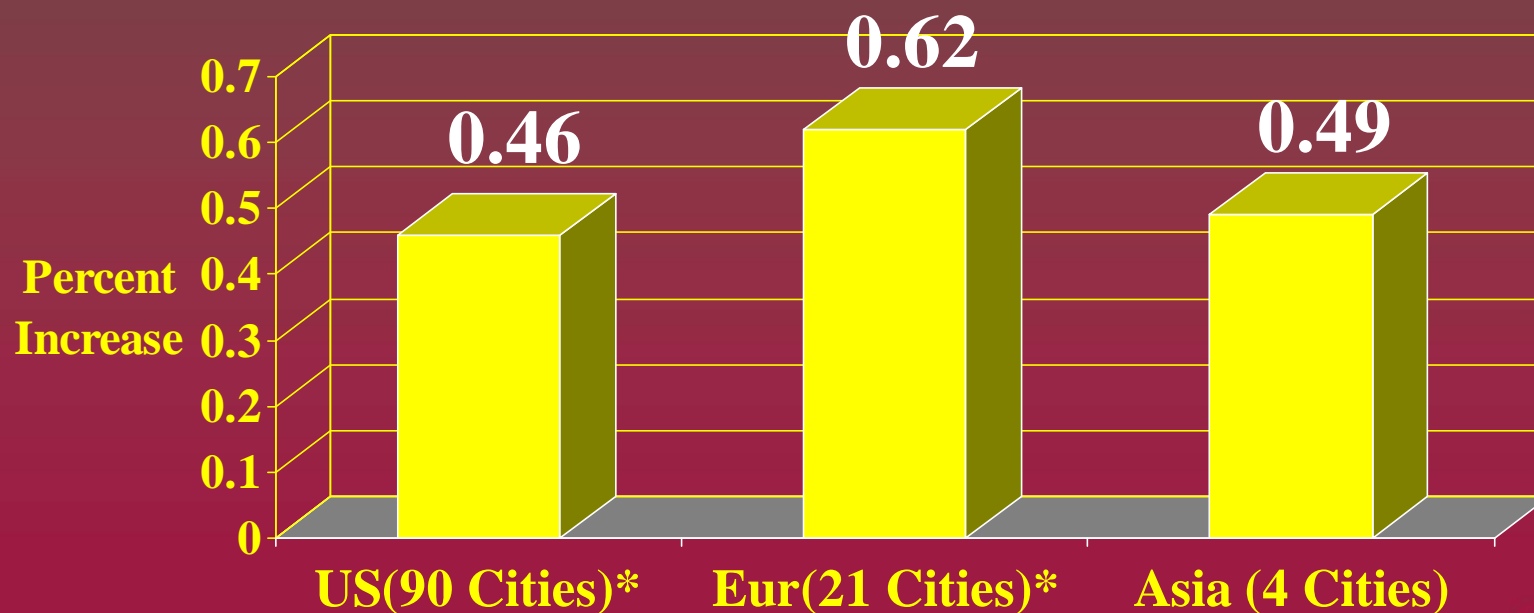
*Regular Communication of HEI science in high level international forums*

- PM Health Effects Japan EPA\industry (setting new national PM 2.5 standard)
- In China, effects of NO<sub>2</sub> and SO<sub>2</sub>, low sulfur fuels, (as part of USEPA, industry, MEP trilateral)
- Diesel health effects in India (SIAM \ worldwide vehicle industry \government forum)



# *PAPA Review Initial Results:* Asian Risk Estimates Similar to West

**Percent Increase in Mortality per 10 micrograms  
of Exposure**



\* Estimates Using Pre-GAM Results (without revision)



# *Summary Activities, Next Steps*

- Current Studies, Reviews, New Areas:
- NPACT, other PM & Gas Studies, ACES, Air Toxics, Accountability, others
- Completing Currently Active Science Reviews
  - Traffic
  - Asia health effects, in global context
- Expected New Areas for Research, Scientific Review
  - Emerging fuels and technologies – Biofuels, NOX after treatment devices, additives, others
  - Statistical methods for Epidemiological studies
  - Air toxics hot spots
  - Accountability program summary, new research
  - Many others

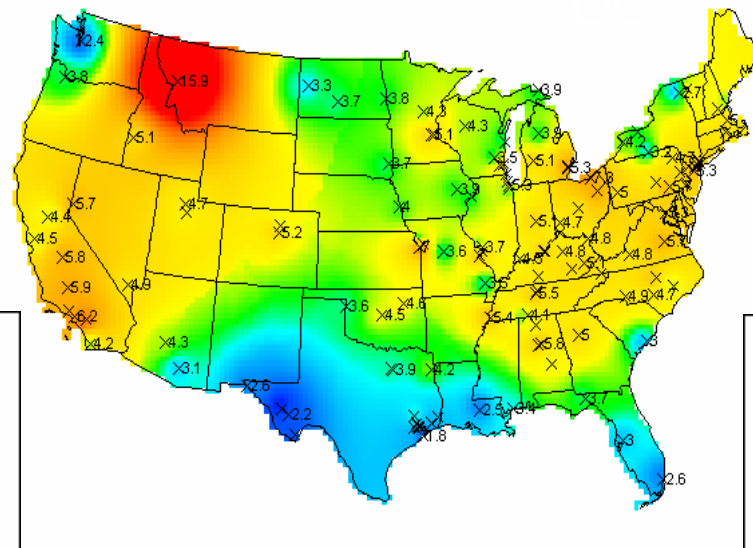
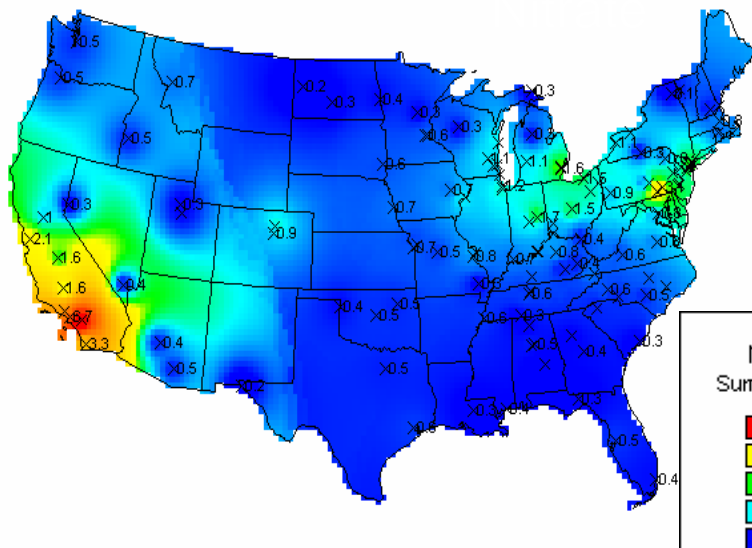
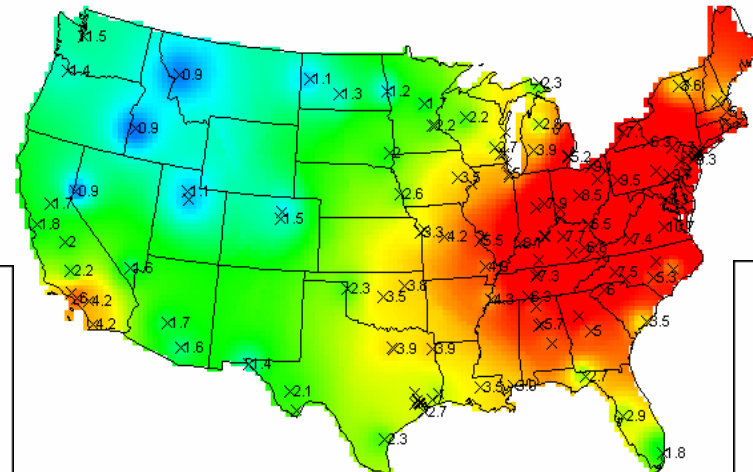
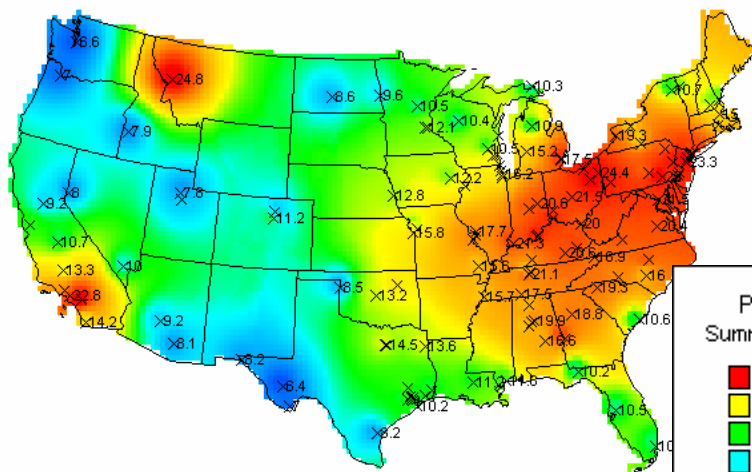


*Thank You*





# *Taking Advantage of Spatial Variability: Composition of Summer $PM_{2.5}$*



## **NEW: First Comprehensive Review of Health Effects of Air Pollution Across Asia**

- Identifying health studies across Asia since 1980
- Includes levels of air pollution in Asian cities
- Asian and global standards for stationary and mobile sources
- Evaluation and new meta analysis of effects of ambient & indoor air

Including WHO Global burden of disease estimates

***A new benchmark for  
regulators, scientists and  
stakeholders***

Revised Final edition in late 2008



# Strategic Plan: A Timeline for Action

Major Scheduled Regulatory Events	2005	2006	2007	2008	2009	2010
	<ul style="list-style-type: none"> <li>US PM NAAQS (staff paper/proposal)</li> <li>US mobile source air toxics proposal</li> <li>Euro 5 standards</li> <li>China, India Euro 3</li> </ul>	<ul style="list-style-type: none"> <li>PM NAAQS decisions</li> <li>European CAFÉ ambient standards</li> <li>US mobile-source air toxics rules</li> <li>US 15 ppm sulfur diesel</li> </ul>	<ul style="list-style-type: none"> <li>US highway diesel rules in effect</li> <li>Ozone NAAQS review</li> <li>European CAFÉ decisions</li> <li>US state PM SIPs</li> </ul>	<ul style="list-style-type: none"> <li>US state PM SIPs</li> <li>Ozone NAAQS decisions</li> <li>US utility PM rules</li> </ul>	<ul style="list-style-type: none"> <li>US state PM SIPs</li> <li>US nonroad diesel rules begin to take effect</li> <li>US utility PM rules</li> </ul>	Looking ahead <ul style="list-style-type: none"> <li>State plans for PM</li> <li>India, China Euro 4</li> </ul>

## HEI Strategic Plan 2005–2010

### Innovation and Validation

Integrate and validate innovative technologies (eg, genomics, proteomics) in every aspect of HEI work

Continuous improvement, validation, and data access for state-of-the-art statistics in epidemiology

### Air Pollution Mixture

#### Toxicity of PM Components, Gases, and Their Sources

Complete key long-term effects, diesel allergy, and mechanism studies—produce HEI Perspectives and consider follow-on studies

Review current science on traffic and health

Systematically investigate toxicity of PM components and sources

Create air and health databases

Initiate systematic toxicology and epidemiology investigations

#### Air Toxics

Review science on mobile-source air toxics

Air toxics research: Complete targeted studies of hot spot exposure

Air toxics research: Continue health studies in areas where hot spots identified

#### Understanding the Mixture

Convene workshop and monograph group to examine, synthesize, and recommend innovative approaches to studying health effects of the mixture

### Emerging Technologies

Continue tracking emerging technologies: periodic reviews of key issues (eg, alternative fuels, metal and other fuel additives, control technologies)

ACES

2007 Engines: emission characterization

2010 Engines: emissions characterization

Initiate short-term and chronic testing

### Measuring Results of Regulation (Accountability)

Continue building networks and alliances with CDC and state public health tracking programs

Complete initial studies of short-term interventions

Initiate new studies of long- and short-term interventions, new methods development

### Enhanced International Perspective

Complete APHENA and other international studies to inform US and other decisions

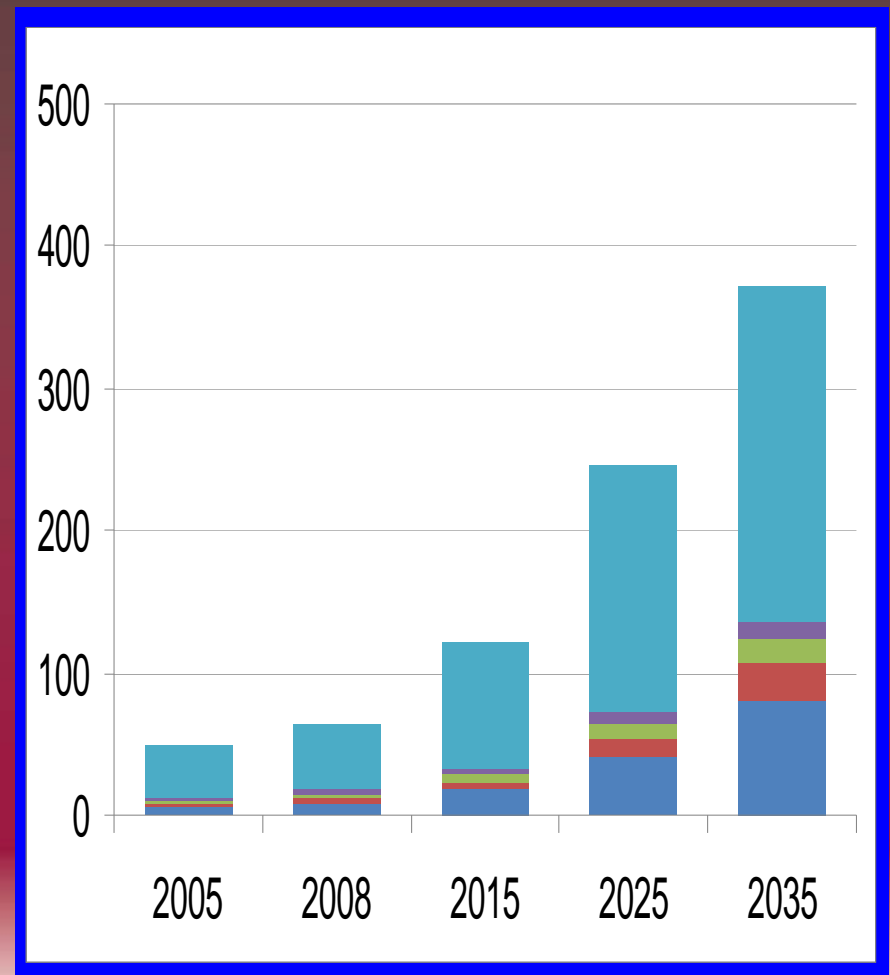
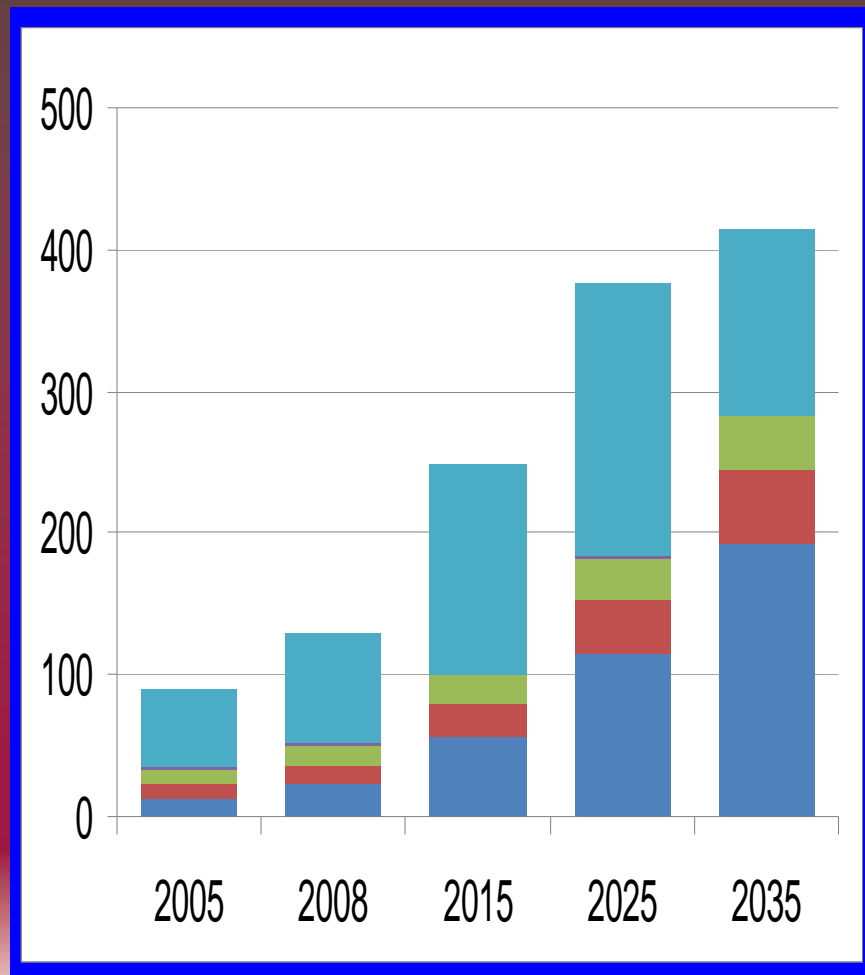
Conduct initial PAPA studies in key Asian cities (including program in air pollution, poverty, and health)

Publish comprehensive review of Asian science

*One of many growth measures:*  
*Vehicle Forecast in Asia*  
*(in millions of vehicles)*

**China, P.R.**

**India**



# *Concluding Thoughts*

- HEI Actively engaged in producing credible science for a number of key decisions:
- Examples:
  - The rapidly moving EPA PM NAAQS process
  - Air Toxics decisions on exposure, and risk
- Many other key topics as well:
  - The gases (e.g. ozone)
  - Emerging biofuels and control technologies
  - “Accountability” measuring whether rules actually have the benefits they had been estimated to have.





# *Spengler Study of Air Toxics Exposure from Vehicular Emissions at a US Border Crossing*

- **Objective:** Characterize impact of vehicular emissions at the Buffalo, New York Border Crossing on adjacent community.
- **Approach:**
  - Particles and gasses measured at fixed locations upwind and adjacent to the Peace Bridge Plaza where trucks and automobiles queue for customs inspections.
  - Additional fixed sites established in the neighborhoods of West Buffalo.
  - Mobile sampling systems with GPS locators will assess NO, PAH, particle mass, soot and number counts in a series of transects across the community.



# *Targeted Science for Decisions*

- The Accelerating NAAQS Process
  - EPA Progress on a 5-year Schedule
  - HEI Studies to Inform the PM NAAQS
    - *On Long Term Effects*
    - National Particle Component Toxicity Initiative (NPACT)
- Air Toxics
  - HEI expert review of Air Toxics Exposures and Effects
  - Air Toxics “Hot Spots” –
    - *How “hot” are they?*



# Sound Science to Sound Decisions

- India: New National Air Quality Standards
  - Largest democracy on the planet, (1.1 billion)
  - Together with China the fastest growing market for vehicles in the world
  - In process of finalizing its first set of national air quality standards for 10 (!) new pollutants and 7 additional updated standards
  - Drawing on range of science from a growing but limited base of local studies, WHO guidelines , and the literature of the developed world

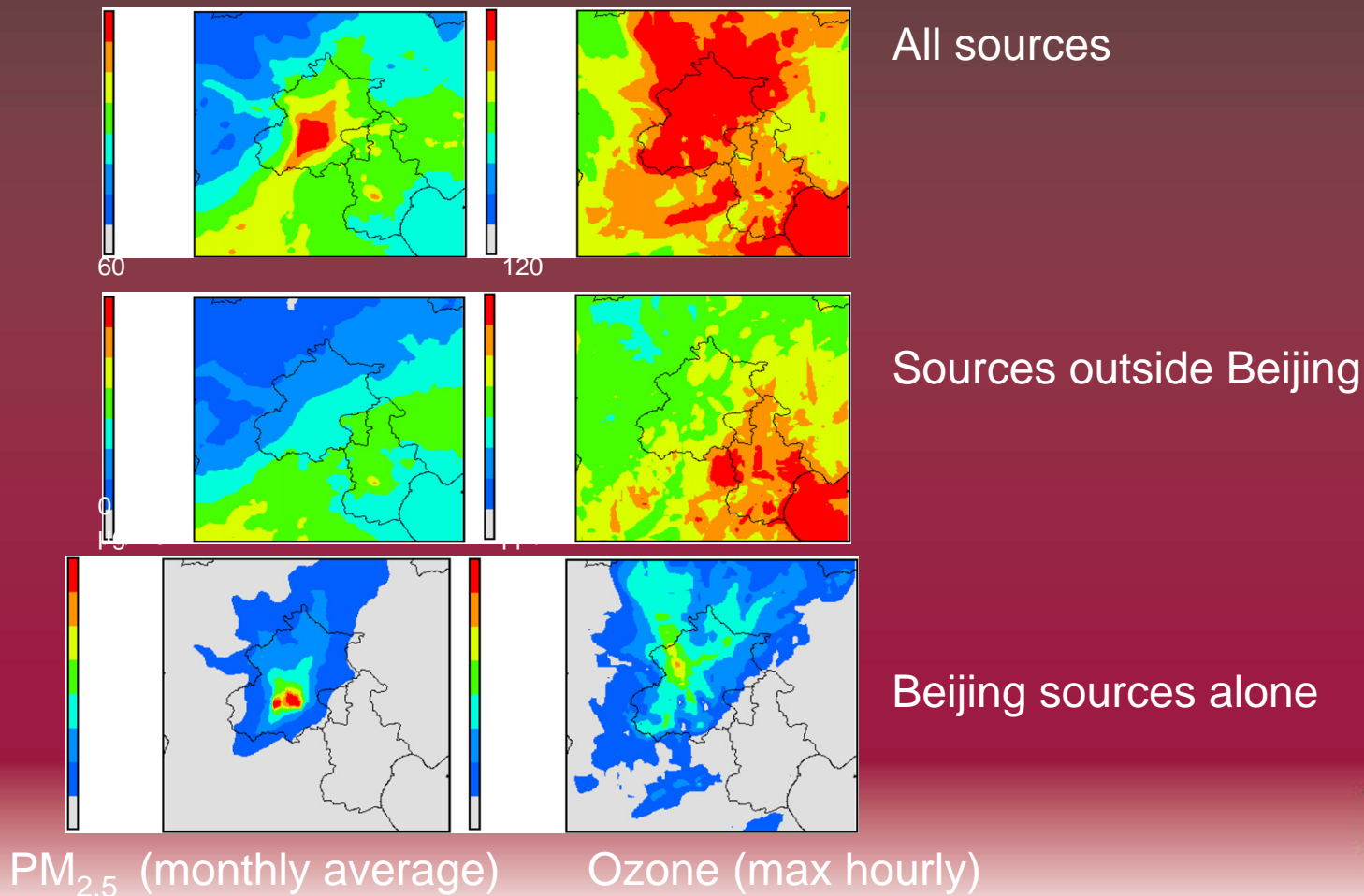




## Modeled Air Quality in Beijing –

David Streets et al, Atmospheric Environment 41 (2007) 480-492

CMAQ model simulations of PM<sub>2.5</sub> and ozone concentrations for Beijing, July 2001



# *HEI/CDC/EPA workshop on methodologic issues in Environmental Public Health*

## *Tracking of air pollution effects*

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- Build on work of CDC's EPHT program to develop indicators of air pollution-related health effects at the US state and local levels
- Bring together participants in CDC's EPHT program, US EPA's air quality programs, and US and international experts to address key methodologic issues in indicator development for public health applications
- Make recommendations to CDC regarding further development and application of indicators



## *EPHT workshop charge:*

To develop recommendations for:

- (1) approaches for using state analyses of state data to generate state and sub-state impact estimates for acute effects of air pollution;
- (2) approaches for using external Concentration-Response function estimates from the scientific literature to generate local estimates for chronic and acute effects; and
- (3) approaches to communicating the estimates and their limitations to stakeholders.



## *Key Toxics Question: How “Hot” are “Hot Spots”?*

- Ambient levels of air toxics generally low
  - And most population risk estimates relatively small
- Strong citizen and scientific interest in “hot spots”
  - Concentrations of sources (traffic, industrial) near populations
- Few true tests of what is, and is not, “hot”
  - HEI completing five studies across US and in England



# ***Mobile Source Air Toxics:***

## *An HEI Critical Review of the Literature on Exposure and Health Effects*

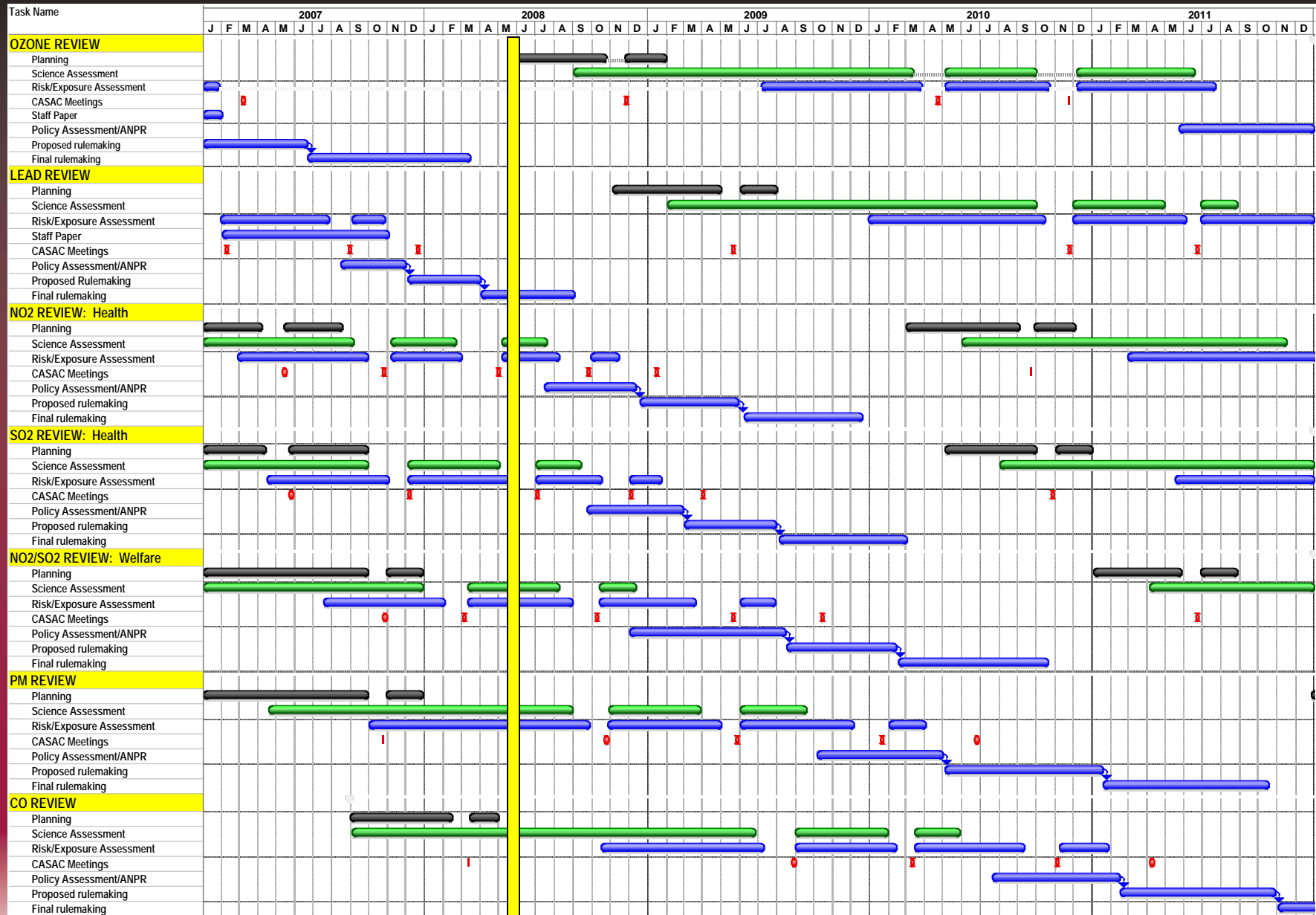


Which Toxics are likely to pose the greatest risks at ambient levels of exposure?

- What are the levels of exposure?
  - To what extent are mobile sources a significant source of exposure?
- Does it cause human health effects?
- Does it cause human health effects at ambient levels?



# EPA's NAAQS Review Schedules *(as of May 2008)*



# *Schedule for the PM NAAQS Review*

*(as of February 2008 HEI Sponsor Meeting. Source: EPA)*

Major Milestones		Projected Completion Date	Projected CASAC Review Date
Workshops to Discuss Key Policy-Relevant Issues		July 2007	
Integrated Review Plan	Draft	October 2007	November 30, 2007
	Final	February 2008	
Integrated Science Assessment	First Draft	September 2008	December 2008
	Second Draft	March 2009	May 2009
	Final	September 2009	
Risk/Exposure Assessment	Draft Plan	October 2008	December 2008
	First Draft	April 2009	May 2009
	Second Draft	November 2009	January 2010
	Final	March 2010	
Policy Assessment/ Rulemaking	ANPR	June 2010	August 2010
	Proposed	January 2011	
	Final	October 2011	

\*Indicates that a single CASAC meeting will address both documents

## *Priority Topics: Traffic and Health*

- Growing number of exposure, animal, and epidemiology studies looking at exposures and effects at roadside
  - Substantial populations potentially affected
- Proximity to roadways associated with a number of effects
  - Not clear what characteristic of roadways is responsible for the association.
  - Relatively crude exposure metrics - difficult to separate sources
- Are roads a measure of
  - Exposure to PM and/or other pollutants? (gaseous pollutants, VOCs);
  - Socio-economic status?
  - Stress? Noise?
- HEI *review* of science on health effects of traffic.





# *Organization of Monograph*

- Extended executive summary
  - Target technical and policy readership
- Introduction
  - Focus on 1986 (start of diesel emission control to 2020 (capture Euro 2015 standards)
- Emissions Characterization
- Exposure Assessment Methods
- Epidemiological studies
  - Statistical issues raised by epi studies
- Toxicology
- Conclusions/Research Recommendations



# ***Priority Topic:***

## **Assessing the Public Health Impact of Air Quality Actions (Accountability)**

*Studies to assess the health impact of air quality regulations*

- Building on HEI Accountability Monograph, HEI has:
  - ***9 accountability studies underway:*** (London low emission zone, Eastern US power plant emissions, Montana woodstove replacement, Beijing and Atlanta Olympics, fuel sulfur etc)
    - In various stages of completion and HEI review
    - Publication beginning later this year through 2010
  - ***Accountability Program Summary*** to be initiated this spring
  - ***HEI organized with CDC, EPA, states joint workshop*** on development and use of comprehensive state health tracking databases,
    - a key step in enabling studies of air quality regulations that are implemented over longer time periods (e.g. Clean Air Act)
  - Maintain receptivity to opportunistic (time sensitive) research proposals (e.g. port emission regulations)



# *Key Issues in the Current PM NAAQS Review*

*(From EPA Presentation, HEI Sponsors Meeting February 2008)*

## Primary Standards

- *Indicators for fine and coarse particles:*
  - To what extent does the newly available information support consideration of alternative indicators for fine and thoracic coarse particles?
  
- *Assessment of health effects associated with long-term  $PM_{2.5}$  exposures:*
  - To what extent does newly available information increase our understanding of the associations between long-term exposures to fine particles and health effects?



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  - Socio-economic status?
  - Stress? Noise?



# *HEI Communication 11: Concepts and methods for accountability research*

- Multi-authored monograph published by HEI
- Assessment of the task
- Conceptual framework for future research
- Research directions

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